RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICENTRAL FAX CENTER

Serial No.: 10/707,756 Conf. No.: 1755

Filing Date: 01/09/2004

Art Unit:

2811

Applicant:

Joseph et al.

Examiner:

Unknown

Title: SELF-ALIGNED RAISED EXTRINSIC

BASE BIPOLAR TRANSISTOR STRUCTURE AND METHOD

Docket No.:

BUR920030150US1

(IBMB-0035)

COMMISSIONER FOR PATENTS

DESTINATION FACSIMILE NUMBER:

703-872-9306

Transmitted herewith is:

Preliminary Amendment (5 pages)

Transmittal of Information Disclosure Statement (2 pages)

Information Disclosure Statement (1 page)

in the above identified application.

No additional fee is required.

The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0456 (IBM) as described below.

Credit any overpayment.

Charge any additional fee required.

Spencer K. Warnick, Reg. No. 40,398

DATE: August 2, 2004

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on the date shown below.

> Darci DeLisle (Person transmitting this correspondence)

If you receive this correspondence in error or do not receive the entire transmission, please notify us at (518) 449-0044.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

10/707,756

Conf. No.:

1755

Filing Date: 01/09/2004

Art Unit:

2811

Applicant:

Joseph et al.

Examiner:

Unknown

Title: SELF-ALIGNED RAISED EXTRINSIC

Docket No.: BUR920030150US1

BASE BIPOLAR TRANSISTOR

(IBMB-0035)

STRUCTURE AND METHOD

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Dear Sir:

Prior to initial examination of the subject application on the merits, please amend said application as follows:

10/707,756 BUR920030150US1 Page 1 of 5